

朝陽科技大學 099學年度第1學期教學大綱
Special Topics of Financial Law 金融法規專題研究

當期課號	7606	Course Number	7606
授課教師	卓俊雄	Instructor	CHO,CHUN HSIUNG
中文課名	金融法規專題研究	Course Name	Special Topics of Financial Law
開課單位	保險金融管理系碩士在職專班二A	Department	
修習別	選修	Required/Elective	Elective
學分數	3	Credits	3
課程目標	<p>隨著金融商品之推陳出新，相應而生的法規需求也日趨複雜。為使同學全盤了解金融交易及其相關法律問題，因此本課程之設計主要希望透過對於金融服務相關法規制度之介紹與討論，建立同學對金融市場監理之認識。</p>	Objectives	<p>With the emerging development of the financial markets and transactions comes the urgent need for extensive and comprehensive law to regulate the market as well as the institution. Financial Law is related to legal issues of financial trades and discussions of financial systems. This course provides an overview of the regulation of the banking and financial services industry focusing on the regulatory structure, regulatory bodies and the binding law. This seminar aims to introduce students to the field of banking and financial law, devoted to the law governing the financial markets and financial transactions.</p>
教材	演講以及PPT檔	Teaching Materials	lecture and PPT or material
成績評量方式	<p>學習評分標準</p> <ol style="list-style-type: none"> 1. 上課情形及小考(30%) 2. 期中考或期中報告(30%) 3. 期末考或期中報告(40%) 	Grading	<p>class attendance and presentation: 30%</p> <p>mid-term exam: 30%</p> <p>final exam: 40%</p>
教師網頁	-		
教學內容	<p>透過本課程之介紹，有助於使學生了解下列問題</p> <ol style="list-style-type: none"> 1. 解釋為什麼保險人需要被監督 2. 了解保險監理的主要演變過程 3. 分辨保險契約當事人之主要權利及義務 4. 認識主要保險監理法制 	Syllabus	<p>After studying this course, students must be able to</p> <ol style="list-style-type: none"> 1. explain the major reasons why insurers are regulated 2. identify key legal cases and legislation that have had an important impact on insurance regulations 3. identify the obligations and rights between an insurer and an insured 4. explain the objectives of rate regulation and the different types of rating laws

尊重智慧財產權，請勿非法影印。