朝陽科技大學 099學年度第1學期教學大綱
Financial Law & Regulations 金融證券法規

當期課號	1218	Course Number	1218
授課教師	楊文蘭	Instructor	YANG,WEN LAN
中文課名	金融證券法規	Course Name	Financial Law & Regulations
開課單位	保險金融管理系(四日)二A	Department	
修習別	選修	Required/Elective	Elective
學分數	2	Credits	2
課程目標	1.學生了解證券監理法規之基本意義及概念 2.能具備識別證券交易市場的管理問題 3.能具備金融證券法規之專業態度 4.對日後金融證券法規之學習有所助益	Objectives	1. Helping students understand basic meaning and concepts of financial law and regulations. 2. Able to identify management problems in securities market. 3. Having professional attitudes on financial law and regulations. 4. Helping future study on financial law and regulations.
教材	金融法，王文宇主編，元照。	Teaching Materials	Finacial law, Wenue Wan.
成績評量方式	期中報告33% 期末考試33% 平時成績33%	Grading	report33% finaltest33% perfermance in class33%
教師網頁	http://lms.ctl.cyut.edu.tw/2003029		
教學內容	演講，分組討論及校外參觀 當今社會中，金融資產的存在，不僅促進資金融通，並且重新配置風險。對於買賣交易各種金融資產的市場所發生的事實，必須經由立法機制訂立遊戲規則，並且加以監理。對於資本市場中的核心問題，國家如何在維護社會公平與處進經濟效率的衝突中，避免市場失序，並確保金融中介機構與證券市場健全運作，創造信用就是本學期研究的課題。	Syllabus	speech,team work and outing. The financial law is summed to enact for the purpose of promoting the national economic development, financial activity and the protection of investors. The regulation and supervision of public offering, issuing, and trading of securities shall be governed by the Securities and Exchange Act. Such matters not provided for in this Act shall be governed by the provision of the Company Act and other relevant acts. In Taiwan, there are some act such as the Banking act of the public of China, it is enacted to improve the conduct of the banking business, to protect the rights of depositors, to coordinate with the development of productive enterprises and to keep Bank credit abreast of national financial policy.

尊重智慧財產權，請勿非法影印。