

朝陽科技大學 098學年度第1學期教學大綱
Special Topics of Financial Law 金融法規專題研究

當期課號	7604	Course Number	7604
授課教師	卓俊雄	Instructor	CHO,CHUN HSIUNG
中文課名	金融法規專題研究	Course Name	Special Topics of Financial Law
開課單位	保險金融管理系碩士在職專班二A	Department	
修習別	選修	Required/Elective	Elective
學分數	3	Credits	3
課程目標	<p>隨著金融商品之推陳出新，相應而生的法規需求也日趨複雜。為使同學全盤了解金融交易及其相關法律問題，因此本課程之設計主要希望透過對於金融服務相關法規制度之介紹與討論，建立同學對金融市場監理之認識。</p>	Objectives	<p>With the emerging development of the financial markets and transactions comes the urgent need for extensive and comprehensive law to regulate the market as well as the institution. Financial Law is related to legal issues of financial trades and discussions of financial systems. This course provides an overview of the regulation of the banking and financial services industry focusing on the regulatory structure, regulatory bodies and the binding law. This seminar aims to introduce students to the field of banking and financial law, devoted to the law governing the financial markets and financial transactions.</p>
教材	劉連焜，證券交易法實例演習，元照	Teaching Materials	
成績評量方式	<p>學習評分標準</p> <ol style="list-style-type: none"> 1. 上課情形及小考(30%) 2. 期中考或期中報告(30%) 3. 期末考或期中報告(40%) 	Grading	<p>class attendance and presentation: 30%</p> <p>mid-term exam: 30%</p> <p>final exam: 40%</p>
教師網頁	-		
教學內容	<p>透過本課程之介紹，有助於使學生了解下列問題</p> <ol style="list-style-type: none"> 1. 了解證券市場的管理概況 2. 了解證券發行市場的管理 3. 了解證券交易市場的管理 4. 認識證券服務業 5. 主要證券監理法制 	Syllabus	<p>After studying this course, students must be able to</p> <ol style="list-style-type: none"> 1. explain the major reasons why stock markets are regulated 2. identify key legal cases and legislation that have had an important impact on stock markets regulations 3. identify the obligations and rights for an issuer and 4. explain the objectives of stock markets regulation

尊重智慧財產權，請勿非法影印。