

**朝陽科技大學 097學年度第2學期教學大綱**  
**Financial Law & Regulations 金融證券法規**

當期課號	3184	Course Number	3184
授課教師	林啓晶	Instructor	LIN,CHICHING
中文課名	金融證券法規	Course Name	Financial Law & Regulations
開課單位	保險金融管理系(四進)四A	Department	
修習別	選修	Required/Elective	Elective
學分數	2	Credits	2
課程目標	1.學生了解證券監理法規之基本意義及概念 2.能具備識別證券交易市場的管理問題 3.能具備金融證券法規之專業態度 4.對日後金融證券法規之學習有所助益	Objectives	1. Helping students understand basic meaning and concepts of financial law and regulations. 2. Able to identify management problems in securities market. 3. Having professional attitudes on financial law and regulations. 4. Helping future study on financial law and regulations.
教材	授課之範圍將儘量以實務及案例介紹，故不以單一書籍課本作為授課之教材，由老師準備相關教材及案例供學生影印參考。若授課過程中有實務參考價值之書籍，亦由學生依需求自行購買研讀。	Teaching Materials	The scope of the lecture will be focus on practical knowledge and case study. The lecturer will prepare handouts for students rather than a textbook. Students may also buy reference books on their own to do further study. edits the printed lecture
成績評量方式	平時參與 期中考試 期末考試	Grading	Attendance Mid-term exam Final exam
教師網頁	-		
教學內容	一、信託法及信託業法 二、信託課稅相關規定 三、不動產證券化條例 四、金融資產證券化條例 五、證券投資信託資金管理辦法	Syllabus	1.Trust Law and Trust Industry Law 2.Tax on Trust 3.Real-estate Securitization Statue 4.Financial Asset Securitization Statue 5.Regulations Governing Securities Investment Fund

尊重智慧財產權，請勿非法影印。