

朝陽科技大學 097學年度第2學期教學大綱
Financial Law & Regulations 金融法規

當期課號	3059	Course Number	3059
授課教師	陳中河	Instructor	CHUNG,HO CHEN
中文課名	金融法規	Course Name	Financial Law & Regulations
開課單位	財務金融系(二在)三B	Department	
修習別	必修	Required/Elective	Required
學分數	3	Credits	3
課程目標	本課程內容著重在金融制度之講解，且以銀行金融為主。就證券金融，因其已形成一完整領域，將獨立在公司與證券法規中講授，於此不列入授課內容。	Objectives	Financial Law is related to legal issues of financial trades and discussions of financial systems. The former stresses on regulations of the Civil Law and the Commercial Laws. In this course, as far as financial trades' legal issues are concerned, only important contents, such as substantial rules of the Law of Bills and Notes, are to be expounded. Nonetheless, this course focuses on the descriptions of financial systems, primarily the banking finance; thus, regulations of the Banking Law will be the major resources of this course.
教材	講義	Teaching Materials	
成績評量方式	平時成績(10%)、期中考成績(30%)、期末考成績(30%)、期末報告成績(30%)	Grading	Class performance(10%),Midterm exam(30%),Final exam (30%),Report(30%)
教師網頁	-		
教學內容	1.信託法解析 2.信託個案研討 3.銀行法解析 4.證券交易法個案研討	Syllabus	1.Trust law analysis 2.Trust case study 3.Bank law analysis 4.Securities and exchange law case study

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