朝陽科技大學 097學年度第1學期教學大綱
Financial Law & Regulations 金融證券法規

當期課號	1362	**Course Number**	1362
授課教師	卓俊雄	**Instructor**	CHO,CHUN HSIUNG
中文課名	金融證券法規	**Course Name**	Financial Law & Regulations
開課單位	保險金融管理系(四日)四A	**Department**	
修習別	選修	**Required/Elective**	Elective
學分數	2	**Credits**	2
課程目標	1.學生了解證券監理法規之基本意義及概念 2.能具備識別證券交易市場的管理問題 3.能具備金融證券法規之專業態度 4.對日後金融證券法規之學習有所助益	**Objectives**	1. Helping students understand basic meaning and concepts of financial law and regulations. 2. Able to identify management problems in securities market. 3. Having professional attitudes on financial law and regulations. 4. Helping future study on financial law and regulations.
教材	劉連煜，証券交易法實例演習，元照	**Teaching Materials**	
成績評量方式	學習評分標準 1. 上課情形及小考(30%) 2. 期中考或期中報告(30%) 3. 期末考或期中報告(40%)	**Grading**	class attendance and presentation: 30% mid-term exam: 30% final exam: 40%
教師網頁	_		
教學內容	透過本課程之介紹，有助於使學生了解下列問題 1.了解証券市場的管理概況 2.了解証券發行市場的管理 3.了解証券交易市場的管理 4.認識証券服務業 5.主要証券監理法制	**Syllabus**	After studying this course, students must be able to 1.explain the major reasons why stock markets are regulated 2.identify key legal cases and legislation that have had an important impact on stock markets regulations 3.identify the obligations and rights for an issuerand 4.explain the objectives of stock markets regulation

尊重智慧財產權，請勿非法影印。