朝陽科技大學 096學年度第2學期教學大綱
Insurance Auditing 保險金融監理

當期課號	1289	Course Number	1289
授課教師	林維崧	Instructor	LIN,WEI SONG
中文課名	保險金融監理	Course Name	Insurance Auditing
開課單位	保險金融管理系(四日)四B	Department	
修習別	選修	Required/Elective	Elective
學分數	2	Credits	2
課程目標	1. 使學生了解保險金融監理法規之基本意義及概念 2.能具備識別保險金融交易市場的管理問題 3.能具備保險金融監理之專業態度 4. 能對日後保險金融監理法規之實際運用有所助益	Objectives	1. Enabling students to understand basic meaning and concept of insurance auditing regulations. 2. Able to identify management problems in financial transaction market. 3. Having professional attitudes in insurance auditing. 4. Helping future actual application of insurance auditing in the future.
教材		Teaching Materials	
成績評量方式	學習評分標準 1. 上課情形及小考(30%) 2. 期中考或期中報告(30%) 3. 期末考或期中報告(40%)	Grading	class attendance and presentation: 30% mid-term exam: 30% final exam: 40%
教師網頁	_		
教學內容	透過本課程之介紹，有助於使學生了解下列問題 1.了解証券市場的管理概況 2.了解証券發行市場的管理 3.了解証券交易市場的管理 4.認識証券服務業 5.主要証券監理法制	Syllabus	After studying this course, students must be able to 1.explain the major reasons why stock markets are regulated 2.identify key legal cases and legislation that have had an important impact on stock markets regulations 3.identify the obligations and rights for an issuerand 4.explain the objectives of stock markets regulation

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