

朝陽科技大學 095學年度第2學期教學大綱  
Financial Law & Regulations 金融市場法規

當期課號	7555	Course Number	7555
授課教師	鄒建中	Instructor	TSOU,CHENG CHUNG
中文課名	金融市場法規	Course Name	Financial Law & Regulations
開課單位	財務金融系碩士在職專班一A	Department	
修習別	選修	Required/Elective	Elective
學分數	3	Credits	3
課程目標	本課程內容著重在金融制度之講解，且以銀行金融為主。就證券金融，因其已形成一完整領域，將獨立在公司與證券法規中講授，於此不列入授課內容。	Objectives	Financial Law is related to legal issues of financial trades and discussions of financial systems. The former stresses on regulations of the Civil Law and the Commercial Laws. In this course, as far as financial trades' legal issues are concerned, only important contents, such as substantial rules of the Law of Bills and Notes, are to be expounded. Nonetheless, this course focuses on the descriptions of financial systems, primarily the banking finance; thus, regulations of the Banking Law will be the major resources of this course.
教材	<金融法>,王文字等,元照出版公司,2004.7月(或其他參考書) 小六法(金融法規)	Teaching Materials	
成績評量方式	一期中考:個人發表會 40% 二期末考:書面報告(3000字以上) 40% 三平常成績:上課表現與出席 20%	Grading	1.middle grade:personal address 40% 2.end grade:paper report 40% 3.ordinary grade 20%
教師網頁	-		
教學內容	一.銀行法介紹與分析 二.其他金融法規內容介紹(如金融控股公司法.金融資產證券化條例.信託法等) 三.討論與報告	Syllabus	1.the introduction of the bank law 2.the other financial laws introduction (like as:financial holding company law.trust law) 3.issue and report

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