朝陽科技大學 095學年度第1學期教學大綱
Financial Law & Regulations 金融法規

當期課號	3054	Course Number	3054
授課教師	塗勝傑	Instructor	SHEN,CHIE TU
中文課名	金融法規	Course Name	Financial Law & Regulations
開課單位	財務金融系(四進)三A	Department	
修習別	選修	Required/Elective	Elective
學分數	3	Credits	3
課程目標	本課程內容著重在金融制度之講解，且以銀行金融爲主。就證券金融，因其已形成一完整領域，將獨立在公司與證券法規中講授，於此不列入授課內容。	Objectives	Financial Law is related to legal issues of financial trades and discussions of financial systems. The former stresses on regulations of the Civil Law and the Commercial Laws. In this course, as far as financial trades' legal issues are concerned, only important contents, such as substantial rules of the Law of Bills and Notes, are to be expounded. Nonetheless, this course focuses on the descriptions of financial systems, primarily the banking finance; thus, regulations of the Banking Law will be the major resources of this course.
教材	一、 公司法(潘秀菊著) 二、 證券交易法逐條釋義（賴英照著） 三、 證券管理法規（賴源河著)	Teaching Materials	
成績評量方式	學期總成績包括課堂參與 (30%)、平時作業(20%)、期中考 (25%)、期末考(25%)	Grading	class attendence, participation (30%), assignment(30%),Midterm (25%), final exam (25%)
教師網頁			
教學內容	1.公司法最新修正內容及對金融市場之影響 2.證券交易法 3.重要金融法規簡述	Syllabus	1.Company Law 2.Securities and Exchange Law 3.introduce important Financial Laws

尊重智慧財產權，請勿非法影印。