朝陽科技大學 094學年度第2學期教學大綱
Financial Law & Regulations 金融證券法規

當期課號	2076	Course Number	2076
授課教師	陳義雄	Instructor	CHEN,YEA HSIUNG
中文課名	金融證券法規	Course Name	Financial Law & Regulations
開課單位	保險金融管理系(二日)四A	Department	
修習別	選修	Required/Elective	Elective
學分數	3	Credits	3
課程目標	1.學生了解證券監理法規之基本意義及概念 2.能具備識別證券交易市場的管理問題 3.能具備金融證券法規之專業態度 4.對日後金融證券法規之學習有所助益	Objectives	1. Helping students understand basic meaning and concepts of financial law and regulations. 2. Able to identify management problems in securities market. 3. Having professional attitudes on financial law and regulations. 4. Helping future study on financial law and regulations.
教材		Teaching Materials	
成績評量方式		Grading	
教師網頁			
教學內容	透過本課程之介紹，有助於使學生了解下列問題 1.了解証券市場的管理概況 2.了解証券發行市場的管理 3.了解証券交易市場的管理 4.認識証券服務業 5.主要証券監理法制。	Syllabus	After studying this course, students must be able to 1.explain the major reasons why stock markets are regulated 2.identify key legal cases and legislations that have had an important impact on stock market regulations 3.identify the obligations and right

尊重智慧財產權，請勿非法影印。