

朝陽科技大學 092學年度第2學期教學大綱  
Insurance Auditing 保險金融監理

當期課號	6299	Course Number	6299
授課教師	卓俊雄	Instructor	CHO,CHUN HSIUNG
中文課名	保險金融監理	Course Name	Insurance Auditing
開課單位	保險金融管理系(二進)五A	Department	
修習別	選修	Required/Elective	Elective
學分數	3	Credits	3
課程目標	<ol style="list-style-type: none"> <li>1. 使學生了解保險金融監理法規之基本意義及概念</li> <li>2. 能具備識別保險金融交易市場的管理問題</li> <li>3. 能具備保險金融監理之專業態度</li> <li>4. 能對日後保險金融監理法規之實際運用有所助益</li> </ol>	Objectives	<ol style="list-style-type: none"> <li>1. Enabling students to understand basic meaning and concept of insurance auditing regulations.</li> <li>2. Able to identify management problems in financial transaction market.</li> <li>3. Having professional attitudes in insurance auditing.</li> <li>4. Helping future actual application of insurance auditing in the future.</li> </ol>
教材	演講	Teaching Materials	lecture
成績評量方式	學習評分標準 <ol style="list-style-type: none"> <li>1. 上課情形及小考(30%)</li> <li>2. 期中考或期中報告(30%)</li> <li>3. 期末考或期中報告(40%)</li> </ol>	Grading	class attendance and presentation: 30% mid-term exam: 30% final exam: 40%
教師網頁	-		
教學內容	透過本課程之介紹，有助於使學生了解下列問題 <ol style="list-style-type: none"> <li>1. 了解證券市場的管理概況</li> <li>2. 了解證券發行市場的管理</li> <li>3. 了解證券交易市場的管理</li> <li>4. 認識證券服務業</li> <li>5. 主要證券監理法制</li> </ol>	Syllabus	After studying this course, students must be able to <ol style="list-style-type: none"> <li>1. explain the major reasons why stock markets are regulated</li> <li>2. identify key legal cases and legislation that have had an important impact on stock markets regulations</li> <li>3. identify the obligations and rights for an issuer and</li> <li>4. explain the objectives of stock markets regulation</li> </ol>

尊重智慧財產權，請勿非法影印。