

朝陽科技大學 092學年度第1學期教學大綱
Corporation and Security Law 公司與證券法規

當期課號	4076	Course Number	4076
授課教師	鄭家捷	Instructor	,
中文課名	公司與證券法規	Course Name	Corporation and Security Law
開課單位	財務金融系(二日)三A	Department	
修習別	必修	Required/Elective	Required
學分數	3	Credits	3
課程目標	針對近年來我國證券市場之蓬勃發展，有必要使同學們對此相關法令做一瞭解，以應未來就業之需要，故於此課程中，將提出重要之公司與證券管理法規做為授課內容。	Objectives	This course covers the provisions of the "Securities & Exchange Law" and the fundamental provisions of "company limited by shares" of the "Company Law." Essential topics of this course are : company limited by shares; offerings, issuing and trading of securities; securities firms; stock exchange; arbitration; and penal provisions.
教材		Teaching Materials	以投影片、單槍投影機（power point 軟體）、黑板等教具，採用講解及討論並重之教學互動模式。
成績評量方式		Grading	
教師網頁	-		
教學內容	公司法部分：1公司法立法概況2企業經營組織之法律型態3總則4無限公司5有限公司6兩合公司7股份有限公司8關係企業9外國公司。證券交易法部分：1續論2證交法之立法目的3主管機關4證券管理哲學5公司之定義6發行人7有價證券8募集及私募9發行10證交法與公司之關係11契約之訂定12公司債及新股發行13科技股上市14內部人持股15委託書16企業經營17公開說明書18財務公開19內線交易20公司重整21證券犯罪。	Syllabus	part of corporation law : 1 legislation 2 legal pattern of corporation management 3 general principles 4 company of unlimited responsibility 5 company of limited liability 6 mixed shares 7 company limited by shares 8 relative enterprise 9 foreign company. part of security law : 1 premise 2 legislative purpose 3 competent authority 4 philosophy of management 5 definition of company 6 sponsor 7 securities 8 public offering and private placements 9 issuance of securities 10 relationship between corporation law and security law 11 conclude a contract 12 company bond and new stock issue 13 listed technology stock 14 stock of insider 15 proxy 16 management of enterprise 17 public prospectus 18 financial to make public 19 exchange of insider 20 afresh of company 21 securities of crime

尊重智慧財產權，請勿非法影印。