

朝陽科技大學 091學年度第2學期教學大綱
Financial Law & Regulations 金融法規

當期課號	6184	Course Number	6184
授課教師	易文正	Instructor	YEE,WEN CHENG
中文課名	金融法規	Course Name	Financial Law & Regulations
開課單位	財務金融系(二進)三A	Department	
修習別	必修	Required/Elective	Required
學分數	3	Credits	3
課程目標	本課程內容著重在金融制度之講解，且以銀行金融為主。就證券金融，因其已形成一完整領域，將獨立在公司與證券法規中講授，於此不列入授課內容。	Objectives	Financial Law is related to legal issues of financial trades and discussions of financial systems. The former stresses on regulations of the Civil Law and the Commercial Laws. In this course, as far as financial trades' legal issues are concerned, only important contents, such as substantial rules of the Law of Bills and Notes, are to be expounded. Nonetheless, this course focuses on the descriptions of financial systems, primarily the banking finance; thus, regulations of the Banking Law will be the major resources of this course.
教材	口授 實例研習	Teaching Materials	Lecture Case Study
成績評量方式	期中考50% 期末考50% 平時成績作為總成績參考	Grading	Midterm examination 50% Final examination 50% Attendance will be for reference only (10% at most)
教師網頁	-		
教學內容	金融法，由最廣義而言，乃指規範各經濟主體金融活動之法的總稱。本課程選擇與財金系有密切關係的兩種法律：「銀行法」、「證券交易法」作為上課的主要內容。上半學期(期中考以前)講授為銀行法；下半學期(期中考以後)講授證券交易法。	Syllabus	In its broadest sense, Financial Regulation refers to any laws regulating financial activities. This course focuses on "Banking Law" and "Securities Act," the most relevant laws to Department of Finance student. The first half of this semester will concentrate on Banking law. "Securities Act" will be our topic after the midterm examination

尊重智慧財產權，請勿非法影印。